



Account Opening Checklist - Individual / Joint Account
開立賬戶核對清單 - 個人 / 聯名賬戶

Account Opening Documents 開戶文件

- The completed and signed Client's Agreement and Account Opening Form (Individual / Joint Account)
已填妥並簽署之客戶協議書及開戶申請書 (個人 / 聯名帳戶)
- The signed Consent Letter for Personal Data Processing - Hong Kong Investor Identification Regime and Over-the-counter Securities Transactions Reporting Regime 已簽署之香港投資者識別碼制度及場外證券交易匯報制度 – 個人資料使用同意書
- (for Professional Investor) Application for Treatment as Individual Professional Investor
(適用於專業投資者) 個人專業投資者申請書
- (for Professional Investor and/or Asset Management Investment Account) Risk Profile Questionnaire (Individual / Joint Account)
(適用於專業投資者及/或資產管理投資專戶) 風險取向問卷 (個人 / 聯名帳戶)
- (for Asset Management Investment Account applying Discretionary Investment Management Services) Discretionary Investment Management Agreement
(適用於資產管理投資專戶申請全權委託投資管理服務) 全權委託投資管理協議
- (for Cash Securities Account applying Discretionary Account Services) Discretionary Account Agreement
(適用現金證券帳戶申請委託帳戶服務) 全權委託帳戶協議
- (if applicable) Form W-9
(如適用) W-9 表格

Basic Documents Required 基本所需文件

- Copy of Identity Documents 身份證明文件副本
 - (Hong Kong permanent residents) Copy of Permanent Identity Card
(香港永久居民) 永久居民身份證副本
 - (Hong Kong residents) Copy of Identity Card AND valid Passport
(香港居民) 居民身份證副本 及 有效護照
 - (Mainland residents) Identity Card (front & back sides)
(中國內地居民) 身份證 (正面及背面)
 - (Overseas nationals) Valid Passport
(海外居民) 有效護照
- Proof of residential address (within the latest 3 months): utility statement, bank statement etc. (P.O. box not accepted)
住宅地址證明(最近三個月內): 銀行結單或公共服務單據等副本 (郵政信箱恕不接受)
- Bank proof: Copy of bank passbook or ATM card with name of the account holder and account number
銀行證明: 顯示帳戶持有人及帳戶號碼的銀行存摺首頁或提款卡的副本

Additional Documents (for NON-IN PERSON Account Opening) 附加文件 (適用於非親臨開戶)

1. Arrange Witness Signature 安排見證人簽署

- Arrange witness* to sign on ALL copy of documents required as **certify true copy**
安排見證人*於所有所需文件上簽署為**認證副本**
 - * The qualified witnesses include SFC licensed or registered person, a JP (Justice of the Peace), or a professional person such as a branch manager of a bank, certified public accountant, lawyer, notary public or chartered secretary. 見證人必須為證監會持牌人或註冊人、太平紳士或專業人士例如銀行分行經理、執業會計師、律師、公證人或特許秘書。
- (For non SFC licensed person) License proof or name card of the witnessing professional
(適用於非證監會持牌人) 見證人之持牌證書或卡片

OR 或

2. Attached Cheque a Licensed Bank in Hong Kong 附上香港持牌銀行支票

- A cheque with amount not be less than HKD10,000
支票金額不少於港幣 10,000 元
- Cheque should be issued by a Hong Kong licensed bank
支票須為香港持牌銀行所簽發
- Cheque should bear your name identical to your identity documents / account name
支票上必須印有開戶申請人之名稱 (須與身份證明文件上之名稱相同) / 帳戶名稱
- Signature on the cheque must be IDENTICAL to the signature shown on the Account Opening Form
支票簽名與開戶申請書上的簽名亦須**完全相同**
- Cheque should be made payable to "First Fidelity Capital (International) Limited – Segregated Client Account"
支票抬頭為: 「First Fidelity Capital (International) Limited – Segregated Client Account」

Note: The new account will not be activated until the cheque is cleared. The cleared fund will be available in your new account.
註: 待清算支票後才可使用新帳戶, 而該款項將存入客戶於本公司之證券買賣賬戶內。