

First Fidelity Capital (International) Limited

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HONG KONG MARKET SECURITIES SERVICES CHARGES 香港市場證券服務收費表

TRADE-RELATED SERVICES 證券交易服務

Brokerage 佣金*	Transaction Levy 交易徵費	Trading Fee 交易費	Stamp Duty 印花稅	CCASS Clearing Fee 中央結算費*
from 0.25% (最低收費Min. HK\$100)	0.0027%	0.005%	HK\$1 / HK\$1,000 0.1%(round up to nearest dollar 上捨至整數)	0.002% (最低Min. HK\$3/ 最高Max. HK\$200)

SCRIP HANDLING AND SETTLEMENT-RELATED SERVICES 處理實物股票及交收服務

Physical Stock Deposit 實貨存入	Free 免費
Physical Stock Withdrawal 實貨提取	HK\$5 per lot (plus HK\$50 per stock) 每手HK\$5 (另每隻股票手續費HK\$50)
SI/ISI (Delivery) 交收指示/投資者交收(轉出)	HK\$5 per lot (Min. HK\$50 Max \$200 per stock) 每手HK\$5 (每隻股票最低HK\$50最高HK\$200)
SI/ISI (Receipt) 交收指示/投資者交收(轉入)	Free 免費
Multi-counter Conversion /Securities Transfer 多櫃台轉轉移/證券轉換	HK\$20 per Stock 每隻股票手續費HK\$20

ACCOUNT MAINTAINENCE 帳戶服務

Reissue Statement 補發結單	base on application date 以申請日期計算:	Free within 6 months 6個月內免費	each copy HK\$20 aged 7 months to 1 year 7個月至1年內每份HK\$20	each copy HK\$40 aged over 1 year 1年以上每份HK\$40
Document Copy 索取文件複印本	HK\$5 per page plus Admin Fee HK\$40 per item 每頁HK\$5 另每項目行政費HK\$40			

NOMINEE SERVICES AND CORPORATE ACTIONS 代理人服務及企業行動

Transfer Deed 轉手紙釐印費	HK\$5 per T/D 每張轉手紙HK\$5
Scrip Fee 過戶費	HK\$2.5 per board lot (round up to 1 board lot) 每手HK\$2.5 (不足一手以一手計)
Cash Dividend/Stock in lieu 代收現金/股票股息	0.5% (Min 最低: HK\$25 Max. 最高HK\$1000) plus CCASS fee 另中央結算費: 0.12%
Bonus/Bonus Warrant Distribution 紅股/紅股認權証派送	HK\$2.5 per board lot (round up to 1 board lot) 每手HK\$2.5 (不足一手以一手計) Min. 最低HK\$25 Max. 最高HK\$200
Rights / Open Offer Distribution, Split /Consolidate 供股權証/公開發售派送, 分拆/合併	Free 免費
Subscription of Rights/Open Offer, Warrant Exercise, Privatisation, Share Repurchase/ Merger/Exchange 行使供股權証/公開發售, 公司備兌認股權証, 私有化, 現金回購, 換股要約	HK\$100 (plus CCASS fee 0.8 per lot 另中央結算費用每手HK\$0.8)
債券贖回 / Redemption of Bonds	HK\$100 plus CCASS fee 另中央結算費用
Warrant Expiry 認股權証到期	from 0.25% (Min. 最低HK\$100) plus CCASS fee per lot 另中央結算費用每手 HK\$0.8
Dividend Claim 追收股份權益	HK\$400 per application 每申請HK\$400 (plus CCASS fee and Registrar fee 另中央結算及股票登記處費)
IPO Cash Application 現金認購新股	HK\$50

FINANCING AND OTHER SERVICES 借貸及其他服務

Interest on late Settlement (Cash Account) 逾期利息 (現金帳戶)	Financing Fee 財務費用: prime rate plus 5% p.a. 息率: 年利率最優惠利率加 5%
Dishonoured Cheque 退票	HK\$110
Re-issue Cheque 補發失票	HK\$110
Local CHATS 本地匯款	HK\$300
Overseas Remittance 外地匯款	HK\$360

Fees are charged in CNY for CNY settlement products. 人民幣結算產品以人民幣計算。

Plus any out-of-pocket expense 另代收費用

The above charges are subject to revision without prior notice 以上收費可隨時修訂而不作另行通知