



Settlement Instruction (SI) / Investor Settlement Instruction (ISI) Form  
股票交收指示 / 投資者交收指示

Account Name 賬戶名稱	Account No. 賬戶號碼
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I/We hereby instruct First Fidelity Capital (International) Limited ("FFCIL") to effect the settlement via Central Clearing and Settlement System (CCASS) in accordance with the following details:

本人/吾等現指示 First Fidelity Capital (International) Limited ("FFCIL") 按以下內容透過中央結算及交收系統執行交收指示：

<input type="checkbox"/> Settlement Instruction (SI) 股票交收指示	<input type="checkbox"/> <b>RECEIVE</b> the below securities for my/our securities account 將下列股票存入至本人/吾等之證券戶口
<input type="checkbox"/> Investor Settlement Instruction (ISI) 投資者交收指示	<input type="checkbox"/> <b>DELIVER</b> the below securities from my/our securities account 由本人/吾等之證券戶口提取下列股票

Name of Counterparty 對手名稱	
Participant ID / Investor Account No. 參與者編號 / 投資者賬戶號碼	
Contact Person 聯絡人	
Contact No. 聯絡電話	(+ )
Settlement Date 交收日期	

Stock Code 股份代號	Stock Name 股份名稱	Quantity 股份數量	Settlement Method * 交收方式 *	Settlement Amount (HKD) 交收金額 (港幣)
			<input type="checkbox"/> 無需付款 Free of Payment <input type="checkbox"/> 貨銀對付 Against Payment	
			<input type="checkbox"/> 無需付款 Free of Payment <input type="checkbox"/> 貨銀對付 Against Payment	
			<input type="checkbox"/> 無需付款 Free of Payment <input type="checkbox"/> 貨銀對付 Against Payment	

\* "Free of Payment" will be assumed as the default Settlement Method if neither choice was selected.

如沒有選擇交收方式，本公司將視“無需付款”為默認選項。

- I/We confirm that there is **NO CHANGE in beneficial ownership** through effecting this settlement instruction.  
本人/吾等確認，透過進行本交收指示，上述股票的實益擁有權並沒有作出變動。
- I/We confirm that there is **CHANGE in beneficial ownership** through effecting this settlement instruction. I/We also provide the original of stamped Bought/Sold note for your record.  
本人/吾等確認，透過進行本交收指示，上述股票的實益擁有權有作出變動。現附上已繳付印花稅之買賣單據正本以供保存。

Reason of Change in Beneficial Ownership 實益擁有權變動原因：	Relationship with Client 與客戶之關係：	<b>** Official Use Only **</b> Tel. confirmation by:  Date:
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- I/We also undertake to indemnify and keep FFCIL indemnified in respect of any costs and expenses, including but not limited to stamp duty, whatsoever which may be suffered or incurred by you in connection with this settlement instruction. 本人/吾等亦承諾就本交收指示所可能令 FFCIL 蒙受或承擔的任何費用或開支，當中包括但不限於印花稅，向 FFCIL 作出彌償及使之獲得彌償。
- Please debit the captioned account for any costs or charges so incurred. 請在本人/吾等之證券戶口中扣除一切開支。
- Instructions for same day settlement have to be reached our Settlement Department by 12:00 noon on the settlement day. 如欲即日完成交收程序，務必於當日中午十二時或之前填妥此表格並交付至交收部。

Client Signature(s) 客戶簽署 (for corporate, with Company Chop 如屬公司客戶，請連印章)
Name(s) of Signer(s) 簽字人姓名
Date 日期

*****For Internal Use Only 此部分由公司填寫*****		
Signature Verified by	Processed by	Approved by
Date 日期：	Date 日期：	Date 日期：

Settlement Information of First Fidelity Capital (International) Limited First Fidelity Capital (International) Limited 之交收資料	
Participant ID 參與者編號	B02081
Contact Person 聯絡人	Settlement Department 交收部
Contact No. 聯絡電話	(852) 3955 5070